Note on the Sum of Powers of Normalized Signless Laplacian Eigenvalues of Graphs

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Abstract

In this paper, for a connected graph G and a real $\alpha \neq 0$, we define a new graph invariant $\sigma_{\alpha}(G)$ -as the sum of the α th powers of the normalized signless Laplacian eigenvalues of G. Note that $\sigma_{1/2}(G)$ is equal to Randić (normalized) incidence energy which have been recently studied in the literature [5,15]. We present some bounds on $\sigma_{\alpha}(G)$ ($\alpha \neq 0, 1$) and also consider the special case $\alpha = 1/2$.

Keywords: Normalized signless Laplacian eigenvalues, Randić (normalized) incidence energy, bound.

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1. Introduction

Let G be a simple connected graph with n vertices and m edges and let $V(G) = \{v_1, v_2, \ldots, v_n\}$ denote the set of vertices of G. Let d_i be the degree of the vertex $v_i \in V(G)$, for $i = 1, 2, \ldots, n$.

Let A(G) be the (0, 1)-adjacency matrix of a graph G. The eigenvalues of Gare the eigenvalues of A(G) [9] and denoted by $\lambda_1 \ge \lambda_2 \ge \cdots \ge \lambda_n$. Let D(G)be the diagonal matrix of vertex degrees of G. The Laplacian matrix of G is the matrix L(G) = D(G) - A(G) with eigenvalues $\mu_1 \ge \mu_2 \ge \cdots \ge \mu_n = 0$. The matrix Q(G) = D(G) + A(G) is called as the signless Laplacian matrix of G. Let

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 $q_1 \geq q_2 \geq \cdots \geq q_n$ be the eigenvalues of Q(G). The eigenvalues of the matrices L(G) and Q(G) are said to be the Laplacian and signless Laplacian eigenvalues of G, respectively. For more details on the spectral theory of L(G) and Q(G), see [11–13, 28, 29].

The energy of a graph G is defined as the sum of absolute values of its eigenvalues, i.e., [16]

$$E = E(G) = \sum_{i=1}^{n} |\lambda_i|.$$

This concept is originated from theoretical chemistry where it is closely associated with the total π -electron energy of a molecule [17, 18]. There is an extensive literature on E(G). For more details see the book [23] and the references cited therein.

The graph energy concept was extended to energy of any matrix in the following manner [32]. The singular values of any (real) matrix M are equal to the square roots of the eigenvalues of MM^T , where M^T is the transpose of M. Then the energy of the matrix M is defined as the sum of its singular values [32]. Evidently, E(A(G)) = E(G).

The incidence matrix I(G) of a graph G with the vertex set $V(G) = \{v_1, \ldots, v_n\}$ and edge set $E(G) = \{e_1, \ldots, e_m\}$ is the matrix whose (i, j)-entry is 1 if the vertex v_i is incident with the edge e_j and is 0 otherwise. In the light of the paper [32], Jooyandeh et al. [22] introduced the incidence energy of G, denoted by IE(G), as the sum of singular values of I(G). Since $Q(G) = I(G)I(G)^T$, it was discovered that [19]

$$IE = IE(G) = \sum_{i=1}^{n} \sqrt{q_i}$$

For the basic properties and the details of IE, see [4, 19, 20, 22, 35].

In [27], Liu and Liu defined the Laplacian energy-like invariant as

$$LEL = LEL(G) = \sum_{i=1}^{n-1} \sqrt{\mu_i}.$$

For survey and more information on the quantity LEL, see [21, 26]. Since the Laplacian and signless Laplacian eigenvalues of bipartite graphs coincide [10, 28, 29], LEL is equal to IE for bipartite graphs [19].

The Randić matrix R(G) of a graph G is the matrix whose (i, j)-entry is $1/\sqrt{d_i d_j}$ if the vertices v_i and v_j are adjacent and is 0 otherwise [2]. Since G is connected, D(G) is non-singular, then the Randić matrix of G is also defined as $R(G) = D(G)^{-1/2} A(G) D(G)^{-1/2}$ [9]. The Randić eigenvalues of G are the eigenvalues of its Randić matrix and denoted by $\rho_1 = 1 \ge \rho_2 \ge \cdots \ge \rho_n$ [2,9,25].

The normalized Laplacian and the normalized signless Laplacian matrices of a connected graph G are defined as [7]

$$\mathcal{L}^{-}(G) = D(G)^{-1/2} L(G) D(G)^{-1/2} = I_n - R(G)$$
(1)

and

$$\mathcal{L}^{+}(G) = D(G)^{-1/2} Q(G) D(G)^{-1/2} = I_{n} + R(G)$$
(2)

respectively. In here, I_n is the $n \times n$ unit matrix. Let $\gamma_1^- \ge \gamma_2^- \ge \cdots \ge \gamma_n^- = 0$ be the eigenvalues of $\mathcal{L}^-(G)$ and $\gamma_1^+ \ge \gamma_2^+ \ge \cdots \ge \gamma_n^+$ be the eigenvalues of $\mathcal{L}^+(G)$. These eigenvalues are called as the normalized Laplacian and normalized signless Laplacian eigenvalues of G, respectively. For more details, see [7].

From the Equations (1) and (2), it follows that [15, 25]

$$\gamma_i^- = 1 - \rho_{n-i+1} \text{ and } \gamma_i^+ = 1 + \rho_i, \text{ for } i = 1, 2, \dots, n.$$
 (3)

Considering Randić matrix and incidence matrix, Gu et al. [15] defined the $n \times m$ Randić incidence matrix $I_R(G)$ of G whose (i, j)-entry is $1/\sqrt{d_i}$ if the vertex v_i is incident with the edge e_j and is 0 otherwise. The Randić incidence energy of G, denoted by $I_R E(G)$, is defined as the sum of singular values of its Randić incidence matrix [15]. In [15], It was shown that $\mathcal{L}^+(G) = I_R(G) I_R(G)^T$. Then, by full analogy with the incidence energy [19], the authors also defined the Randić incidence energy as [15]

$$I_R E = I_R E(G) = \sum_{i=1}^n \sqrt{\gamma_i^+}.$$

This quantity is studied under the name normalized incidence energy in [5].

By analogy to Laplacian energy-like invariant [27], the Laplacian incidence energy of G is defined as [33]

$$LIE = LIE(G) = \sum_{i=1}^{n-1} \sqrt{\gamma_i^-}.$$

For a connected graph G and a real number $\alpha \neq 0$, the sum of the α th powers of the non-zero normalized Laplacian eigenvalues of G is defined as the following [3]

$$s_{\alpha} = s_{\alpha} \left(G \right) = \sum_{i=1}^{n-1} \left(\gamma_i^{-} \right)^{\alpha}.$$

The case $\alpha = 1$ is trivial as $s_1 = n$. In [1,3,8,24], some bounds on s_{α} was given and the case $\alpha = -1$ was discussed since $2ms_{-1}$ is equal to the degree Kirchoff index [6]. Further note that, $s_{1/2} = LIE$ which was recently studied in the literature [30,33].

For a connected graph G and a real number $\alpha \neq 0$, we now introduced the sum of the α th powers of the normalized signless Laplacian eigenvalues of G as the following

$$\sigma_{\alpha} = \sigma_{\alpha} \left(G \right) = \sum_{i=1}^{n} \left(\gamma_{i}^{+} \right)^{\alpha}$$

Note that the case $\alpha = 1$ is trivial as $\sigma_1 = n$. Furthermore, for $\alpha = 1/2$, $\sigma_{1/2} = I_R E$.

In this paper, we present some upper and lower bounds on $\sigma_{\alpha}(G)$ ($\alpha \neq 0, 1$) and also consider the special case $\alpha = 1/2$.

2. Lemmas

Let \overline{G} and t = t(G) denote the complement and the number of spanning tress of a graph G, respectively. Let $G_1 \times G_2$ be the cartesian product of the graphs G_1 and G_2 [9]. Throughout this paper, for a graph G, we use the following auxiliary quantity,

$$t_1 = t_1(G) = \frac{2t(G \times K_2)}{t(G)}.$$
 (4)

Lemma 2.1. If G is a bipartite graph, then the eigenvalues of $\mathcal{L}^{-}(G)$ and $\mathcal{L}^{+}(G)$ coincide.

Proof. From the Equation (3), we have $\gamma_i^- = 1 - \rho_{n-i+1}$ and $\gamma_i^+ = 1 + \rho_i$, for $1 \leq i \leq n$ [15,25]. Note that Randić eigenvalues of a bipartite graph are symmetric with respect to the zero point of the real axis, i.e., $\rho_i = -\rho_{n-i+1}$, for $1 \leq i \leq n$ [9] (p. 109). Then, we get the required result.

By Lemma 2.1, we directly have:

Lemma 2.2. If G is a bipartite graph, then σ_{α} coincide with s_{α} . Especially, for bipartite graphs, $\sigma_{1/2} = I_R E = LIE = s_{1/2}$.

Lemma 2.3. [9] Let G be a connected graph with n vertices, m edges and t spanning trees. Then, $\prod_{i=1}^{n-1} \gamma_i^- = \frac{2mt}{\prod_{i=1}^{n-1} d_i}$.

Lemma 2.4. [12] Let G be a connected non-bipartite graph with n vertices. Then, det $Q(G) = \prod_{i=1}^{n} q_i = t_1$.

Lemma 2.5. If G is a connected bipartite graph with n vertices, m edges and t spanning trees, then $\prod_{i=1}^{n-1} \gamma_i^- = \prod_{i=1}^{n-1} \gamma_i^+ = \frac{2mt}{\prod_{i=1}^{n} d_i}$. If G is a connected non-bipartite graph with n vertices, then $\prod_{i=1}^{n} \gamma_i^+ = \frac{t_1}{\prod_{i=1}^{n} d_i}$.

Proof. By Lemmas 2.1 and 2.3, for connected bipartite graphs, one can directly get that

$$\prod_{i=1}^{n-1} \gamma_i^- = \prod_{i=1}^{n-1} \gamma_i^+ = \frac{2mt}{\prod_{i=1}^n d_i}.$$

Since $\mathcal{L}^{+}(G) = D(G)^{-1/2} Q(G) D(G)^{-1/2}$, taking the determinant of both of two sides, we obtain that

$$\det \mathcal{L}^{+}(G) = \prod_{i=1}^{n} \gamma_{i}^{+} = \frac{\det Q(G)}{\prod_{i=1}^{n} d_{i}}.$$

Considering this with Lemma 2.4, we get the required result for connected non-bipartite graphs. $\hfill \Box$

Lemma 2.6. [14] Let G be a connected graph with n > 2 vertices. Then $\gamma_2^- = \gamma_3^- = \cdots = \gamma_{n-1}^-$ if and only if $G \cong K_n$ or $G \cong K_{p,q}$ (p+q=n).

The proof of the following lemma can be found in the proof of Theorem 2.2 in [15].

Lemma 2.7. [15] Let G be a graph of order $n \ge 2$ without isolated vertices. Then $\gamma_2^+ = \gamma_3^+ = \cdots = \gamma_n^+$ if and only if $G \cong K_n$.

Lemma 2.8. [34] Let a_1, a_2, \ldots, a_N be non-negative real numbers. Then

$$N\left[\frac{1}{N}\sum_{i=1}^{N}a_{i} - \left(\prod_{i=1}^{N}a_{i}\right)^{1/N}\right] \leq N\sum_{i=1}^{N}a_{i} - \left(\sum_{i=1}^{N}\sqrt{a_{i}}\right)^{2}$$
(5)
$$\leq N(N-1)\left[\frac{1}{N}\sum_{i=1}^{N}a_{i} - \left(\prod_{i=1}^{N}a_{i}\right)^{1/N}\right].$$

Moreover, the equality holds on both sides of (5) if and only if $a_1 = a_2 = \cdots = a_N$. Lemma 2.9. [31] Let $a_i > 0, i = 1, 2, \dots, p$ be p real numbers. Then

$$p(A_p - G_p) \ge (p - 1)(A_{p-1} - G_{p-1}),$$
 (6)

where $A_p = \frac{\sum_{i=1}^p a_i}{p}$ and $G_p = \left(\prod_{i=1}^p a_i\right)^{1/p}$.

3. Main Results

In this section, we present some bounds on $\sigma_{\alpha}(G)$ ($\alpha \neq 0, 1$) and also discuss the special case $\alpha = 1/2$.

Theorem 3.1. Let G be a connected non-bipartite graph with $n \ge 3$ vertices and let t_1 be given by (4) and $\alpha \ne 0, 1$ be a real number. Then

$$\sigma_{\alpha}(G) \ge 2^{\alpha} + \sqrt{\sigma_{2\alpha} - 4^{\alpha} + (n-1)(n-2)\left(\frac{t_1}{2\prod_{i=1}^n d_i}\right)^{2\alpha/(n-1)}}$$
(7)

and

$$\sigma_{\alpha}(G) \le 2^{\alpha} + \sqrt{(n-2)(\sigma_{2\alpha} - 4^{\alpha}) + (n-1)\left(\frac{t_1}{2\prod_{i=1}^n d_i}\right)^{2\alpha/(n-1)}}.$$
 (8)

Moreover, equalities in (7) and (8) hold if and only if $G \cong K_n$.

Proof. By replacing N with n-1 and taking $a_i = (\gamma_i^+)^{2\alpha}$, i = 2, 3, ..., n, in Lemma 2.8, we get

$$T \le (n-1)\sum_{i=2}^{n} (\gamma_i^+)^{2\alpha} - \left(\sum_{i=2}^{n} (\gamma_i^+)^{\alpha}\right)^2 \le (n-2)T$$

where $T = (n-1) \left[\frac{1}{n-1} \sum_{i=2}^{n} (\gamma_i^+)^{2\alpha} - \left(\prod_{i=2}^{n} (\gamma_i^+)^{2\alpha} \right)^{1/(n-1)} \right]$. Note that $\gamma_1^+ = 2$ [15] and $\sum_{i=1}^{n} (\gamma_i^+)^{2\alpha} = \sigma_{2\alpha}$, then we obtain

$$T \le (n-1)\left(\sigma_{2\alpha} - 4^{\alpha}\right) - \left(\sigma_{\alpha} - 2^{\alpha}\right)^2 \le (n-2)T \tag{9}$$

and

$$T = (n-1) \left[\frac{1}{n-1} \sum_{i=2}^{n} (\gamma_{i}^{+})^{2\alpha} - \left(\prod_{i=2}^{n} (\gamma_{i}^{+})^{2\alpha} \right)^{1/(n-1)} \right]$$

$$= (n-1) \left[\frac{1}{n-1} (\sigma_{2\alpha} - 4^{\alpha}) - \left(\prod_{i=2}^{n} \gamma_{i}^{+} \right)^{2\alpha/(n-1)} \right]$$

$$= (\sigma_{2\alpha} - 4^{\alpha}) - (n-1) \left(\frac{t_{1}}{2 \prod_{i=1}^{n} d_{i}} \right)^{2\alpha/(n-1)}, \text{ by Lemma 2.5.} \quad (10)$$

Combining (9) and (10), we arrive at the inequalities (7) and (8). Now we assume that the equalities hold in (7) and (8). Then, by Lemma 2.8, $\gamma_2^+ = \gamma_3^+ = \cdots = \gamma_n^+$. From Lemma 2.7, this implies that $G \cong K_n$.

Conversely, one can easily see that the equalities in (7) and (8) hold for $G \cong K_n$.

For $\alpha = 1/2$ in Theorem 3.1, we have the following result on Randić incidence energy of connected non-bipartite graphs.

Corollary 3.2. Let G be a connected non-bipartite graph with $n \ge 3$ vertices and let t_1 be given by (4). Then

$$I_R E(G) \ge \sqrt{2} + \sqrt{n - 2 + (n - 1)(n - 2)\left(\frac{t_1}{2\prod_{i=1}^n d_i}\right)^{1/(n - 1)}}$$
(11)

and

$$I_R E(G) \le \sqrt{2} + \sqrt{(n-2)^2 + (n-1)\left(\frac{t_1}{2\prod_{i=1}^n d_i}\right)^{1/(n-1)}}.$$
 (12)

Moreover, equalities in (11) and (12) hold if and only if $G \cong K_n$.

Remark 1. For a graph G of order $n \ge 2$ without isolated vertices, Gu et al. obtained that [15]

$$I_R E(G) \le \sqrt{2} + \sqrt{(n-1)(n-2)}.$$
 (13)

The equality holds in (13) if and only if $G \cong K_n$. By using arithmetic-geometric mean inequality, one can conclude that the upper bound (12) is better than the upper bound (13) for connected non-bipartite graphs.

Considering $\gamma_1^+ = 2$ [15] and similar arguments in Theorem 3.1 and using Lemmas 2.1, 2.5, 2.6 and 2.8, we have:

Theorem 3.3. Let G be a connected bipartite graph with $n \ge 3$ vertices, m edges and t spanning trees and let $\alpha \ne 0, 1$ be a real number. Then

$$s_{\alpha}\left(G\right) = \sigma_{\alpha}\left(G\right) \ge 2^{\alpha} + \sqrt{\sigma_{2\alpha} - 4^{\alpha} + (n-2)\left(n-3\right)\left(\frac{mt}{\prod_{i=1}^{n} d_{i}}\right)^{2\alpha/(n-2)}} \quad (14)$$

and

$$s_{\alpha}(G) = \sigma_{\alpha}(G) \le 2^{\alpha} + \sqrt{(n-3)(\sigma_{2\alpha} - 4^{\alpha}) + (n-2)\left(\frac{mt}{\prod_{i=1}^{n} d_i}\right)^{2\alpha/(n-2)}}.$$
 (15)

Moreover, equalities in (14) and (15) hold if and only if $G \cong K_{p,q}$ (p+q=n).

For $\alpha = 1/2$ in Theorem 3.3, we obtain the following result.

Corollary 3.4. Let G be a connected bipartite graph with $n \ge 3$ vertices, m edges and t spanning trees. Then

$$LIE(G) = I_R E(G) \ge \sqrt{2} + \sqrt{n - 2 + (n - 2)(n - 3)\left(\frac{mt}{\prod_{i=1}^n d_i}\right)^{1/(n - 2)}}$$
(16)

and

$$LIE(G) = I_R E(G) \le \sqrt{2} + \sqrt{(n-2)(n-3) + (n-2)\left(\frac{mt}{\prod_{i=1}^n d_i}\right)^{1/(n-2)}}.$$
 (17)

Moreover, equalities in (16) and (17) hold if and only if $G \cong K_{p,q}$ (p+q=n).

Theorem 3.5. [3] Let G be a connected bipartite graph of order $n \geq 3$. If $0 < \alpha < 1$, then

$$s_{\alpha}(G) = \sigma_{\alpha}(G) \le n + 2(2^{\alpha - 1} - 1).$$
 (18)

The equality holds in (18) if and only if $G \cong K_{p,q}$ (p+q=n).

Remark 2. For a bipartite graph G of order n without isolated vertices, Gu et al. obtained that [15]

$$I_R E\left(G\right) \le n - 2 + \sqrt{2}.\tag{19}$$

The equality holds in (19) if and only if G is a complete bipartite graph. In fact, for connected bipartite graphs, (19) is a special case of (18) when $\alpha = 1/2$. Furthermore, by arithmetic-geometric mean inequality, we conclude that (17) is better than (19) for connected bipartite graphs.

As well known in graph theory every tree is bipartite. Furthermore, for a tree T, m = n - 1 and t = 1. Then, from Theorem 3.3, we have:

Corollary 3.6. Let T be a tree with $n \ge 3$ vertices and let $\alpha \ne 0, 1$ be a real number. Then

$$s_{\alpha}(T) = \sigma_{\alpha}(T) \ge 2^{\alpha} + \sqrt{\sigma_{2\alpha} - 4^{\alpha} + (n-2)(n-3)\left(\frac{n-1}{\prod_{i=1}^{n} d_{i}}\right)^{2\alpha/(n-2)}} \quad (20)$$

and

$$s_{\alpha}(T) = \sigma_{\alpha}(T) \le 2^{\alpha} + \sqrt{(n-3)(\sigma_{2\alpha} - 4^{\alpha}) + (n-2)\left(\frac{n-1}{\prod_{i=1}^{n} d_{i}}\right)^{2\alpha/(n-2)}}.$$
 (21)

Moreover, equalities in (20) and (21) hold if and only if $G \cong K_{1,n-1}$.

Setting $\alpha = 1/2$ in Corollary 3.6, we obtain:

Corollary 3.7. Let T be a tree with $n \ge 3$ vertices. Then

$$LIE(T) = I_R E(T) \ge \sqrt{2} + \sqrt{n - 2 + (n - 2)(n - 3)\left(\frac{n - 1}{\prod_{i=1}^n d_i}\right)^{1/(n - 2)}} \quad (22)$$

and

$$LIE(T) = I_R E(T) \le \sqrt{2} + \sqrt{(n-2)(n-3) + (n-2)\left(\frac{n-1}{\prod_{i=1}^n d_i}\right)^{1/(n-2)}}.$$
 (23)

Moreover, equalities in (22) and (23) hold if and only if $G \cong K_{1,n-1}$.

Theorem 3.8. Let G be a connected graph with $n \ge 3$ vertices, m edges and t spanning trees and let t_1 be given by (4) and $\alpha \ne 0, 1$ be a real number.

(i) If G is bipartite, then there exists a real number $\epsilon \geq 0$ such that [24]

$$s_{\alpha}(G) = \sigma_{\alpha}(G) \ge 2^{\alpha} + (n-2) \left(\frac{mt}{\prod_{i=1}^{n} d_{i}}\right)^{\alpha/(n-2)} + \epsilon.$$
(24)

(ii) If G is non-bipartite, then there exists a real number $\epsilon \geq 0$ such that

$$\sigma_{\alpha}(G) \ge 2^{\alpha} + (n-1) \left(\frac{t_1}{2\prod_{i=1}^n d_i}\right)^{\alpha/(n-1)} + \epsilon.$$

$$(25)$$

Proof. The lower bound (24) has been obtained in [24]. So, we omit its proof. We now only prove the lower bound (25).

Let p = n - 1, $a_1 = (\gamma_2^+)^{\alpha}$, $a_2 = (\gamma_n^+)^{\alpha}$ and $a_i = (\gamma_i^+)^{\alpha}$ for i = 3, ..., n - 1 in Equation (6). Then, from Lemma 2.9, we have

$$(n-1)\left(\frac{\left(\sum_{i=2}^{n}\left(\gamma_{i}^{+}\right)^{\alpha}\right)}{n-1}-\left(\prod_{i=2}^{n}\left(\gamma_{i}^{+}\right)^{\alpha}\right)^{1/(n-1)}\right)\geq\cdots\geq\left(\left(\gamma_{2}^{+}\right)^{\alpha/2}-\left(\gamma_{n}^{+}\right)^{\alpha/2}\right)^{2}$$

i.e.,

$$\sigma_{\alpha}(G) \ge (\gamma_{1}^{+})^{\alpha} + (n-1) \left(\prod_{i=2}^{n} \gamma_{i}^{+} \right)^{\alpha/(n-1)} + \left(\left(\gamma_{2}^{+} \right)^{\alpha/2} - \left(\gamma_{n}^{+} \right)^{\alpha/2} \right)^{2}.$$
 (26)

Let say $\epsilon = \left(\left(\gamma_2^+\right)^{\alpha/2} - \left(\gamma_n^+\right)^{\alpha/2}\right)^2$. Considering Equation (26) with $\gamma_1^+ = 2$ [15] and Lemma 2.5, we obtain

$$\sigma_{\alpha}\left(G\right) \ge 2^{\alpha} + (n-1)\left(\frac{t_{1}}{2\prod_{i=1}^{n}d_{i}}\right)^{\alpha/(n-1)} + \epsilon$$

Hence the result holds.

Taking $\alpha = 1/2$ in Theorem 3.8, we have:

Corollary 3.9. Let G be a connected graph with $n \ge 3$ vertices, m edges and t spanning trees and let t_1 be given by (4).

(i) If G is bipartite, then there exists a real number $\epsilon \geq 0$ such that [24]

$$LIE(G) = I_R E(G) \ge \sqrt{2} + (n-2) \left(\frac{mt}{\prod_{i=1}^n d_i}\right)^{1/2(n-2)} + \epsilon.$$

(ii) If G is non-bipartite, then there exists a real number $\epsilon \geq 0$ such that

$$I_R E(G) \ge \sqrt{2} + (n-1) \left(\frac{t_1}{2\prod_{i=1}^n d_i}\right)^{1/2(n-1)} + \epsilon$$

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